

# **GRID-SEARCH EVENT LOCATION WITH NON-GAUSSIAN ERROR DISTRIBUTIONS**

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# GMEL = Grid-search Multiple-Event Location

- Solves

$$d_{ij} = T_j(\mathbf{x}_i) + t_i + c_j + e_{ij} \quad (\text{not all } i, j \text{ pairs})$$

where

$d_{ij}$  = arrival time for event  $i$  and  
station/phase combination  $j$

$\mathbf{x}_i$  = hypocenter of event  $i$

$t_i$  = origin time of event  $i$

$c_j$  = travel-time correction for station/phase  $j$

$T_j$  = model-based travel-time function for station/phase  $j$

$e_{ij}$  = observational (pick) error in  $d_{ij}$

- Multiple-event location: solve jointly for the  $(\mathbf{x}_i, t_i)$  and  $c_j$
- Single-event location: fix the  $c_j$

## GMEL (cont'd)

- GMEL assumes the pick errors have a generalized Gaussian probability distribution:

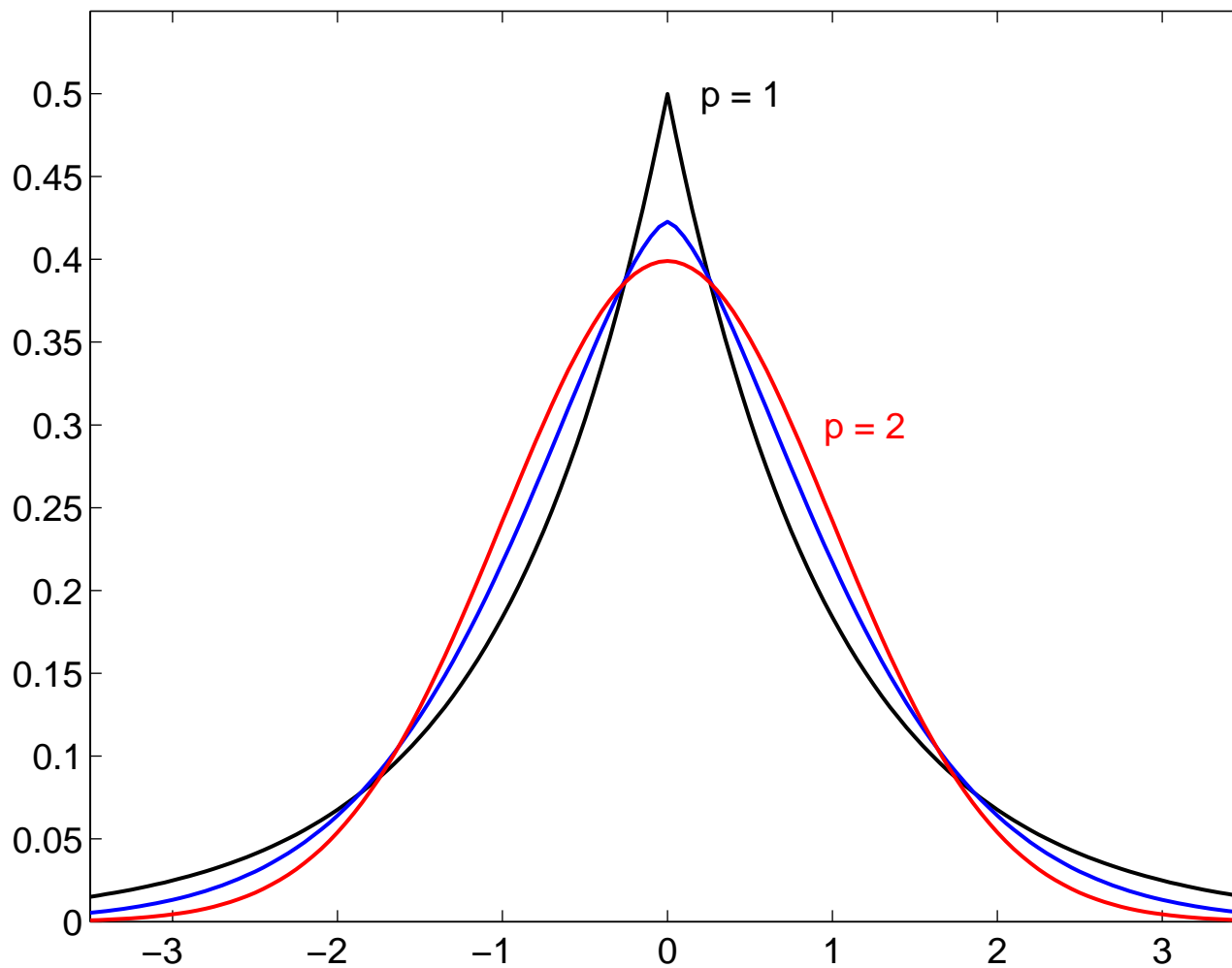
$$f(e_{ij}) = \text{const} \times \exp \left\{ -\frac{1}{p} \left( \frac{e_{ij}}{\sigma_{ij}} \right)^p \right\}$$

where

$\sigma_{ij}$  = standard error of  $e_{ij}$

- Cases considered in this talk:
  - $p = 2$ : Gaussian error distribution
  - $p = 1$ : Laplace error distribution
  - $p = 1.5$

# Generalized Gaussian Probability Distribution



## GMEL (cont'd)

- GMEL maximizes the likelihood function given by

$$-\log L = \text{const} + \sum_{ij} \log \sigma_{ij} + \frac{1}{p} \sum_{ij} \frac{1}{(\sigma_{ij})^p} |d_{ij} - T_j(\mathbf{x}_i) - t_i - c_j|^p$$

- The event locations  $(\mathbf{x}_i, t_i)$  and travel-time corrections  $c_j$  minimize a weighted  $L_p$  norm of the data residuals.
- GMEL uses
  - Adaptive grid-search to find  $\mathbf{x}_i$
  - Regula falsi root-finding to find  $t_i$  and  $c_j$
  - Travel-time tables for  $T_j$  (not on-the-fly calculation)

# Tests With the IWREF Date Set

- Most tests used the ISC defining phases (for 154 of 156 events)

34,614	P	3567	Pn	262	Pb	275	Pg
39	S	10	Sn	0	Sb	1	Sg

- Some tests used an additional 9864 non-defining phases (direct arrivals, no depth phases)
- Assumed nominal accuracy ( $\sigma_{ij}$ )
  - P phases: 1.0 sec
  - S phases: 2.0 sec
- Phase re-naming was done, e.g.

PN  $\mapsto$  Pn      P\*  $\mapsto$  Pb      PKP2  $\mapsto$  PKPab

- AK135 travel-time tables were used for the forward model. Composite first-arrival tables were used for

Pg/Pb

Pn/P/Pdiff

PKP/PKPxx

- Location constraints (for speed):
  - Epicenters within 500 km of IWREF locations
  - Depths between 0 and 250 km

# Gaussian vs. non-Gaussian Solutions

## — Epicenter Mislocation —

<i>Case</i>	<i>mean</i>	<i>median</i>	<i>90%-ile</i>	<i>max</i>
L2	13.3	10.6	25.3	84.4
L1.5	9.7	7.9	18.7	45.1
→ L1	9.4	7.7	17.8	45.7 ←

## — Depth Mislocation —

<i>Case</i>	<i>mean</i>	<i>median</i>	<i>90%-ile</i>	<i>max</i>
L2	15.7	6.4	32.4	237.8
L1.5	13.1	6.9	29.8	191.8
→ L1	12.9	6.0	28.3	198.6 ←



## ISC1 vs. GMEL Solutions

- Based on 153 events (one problematic ISC solution omitted)

### — Epicenter Mislocation —

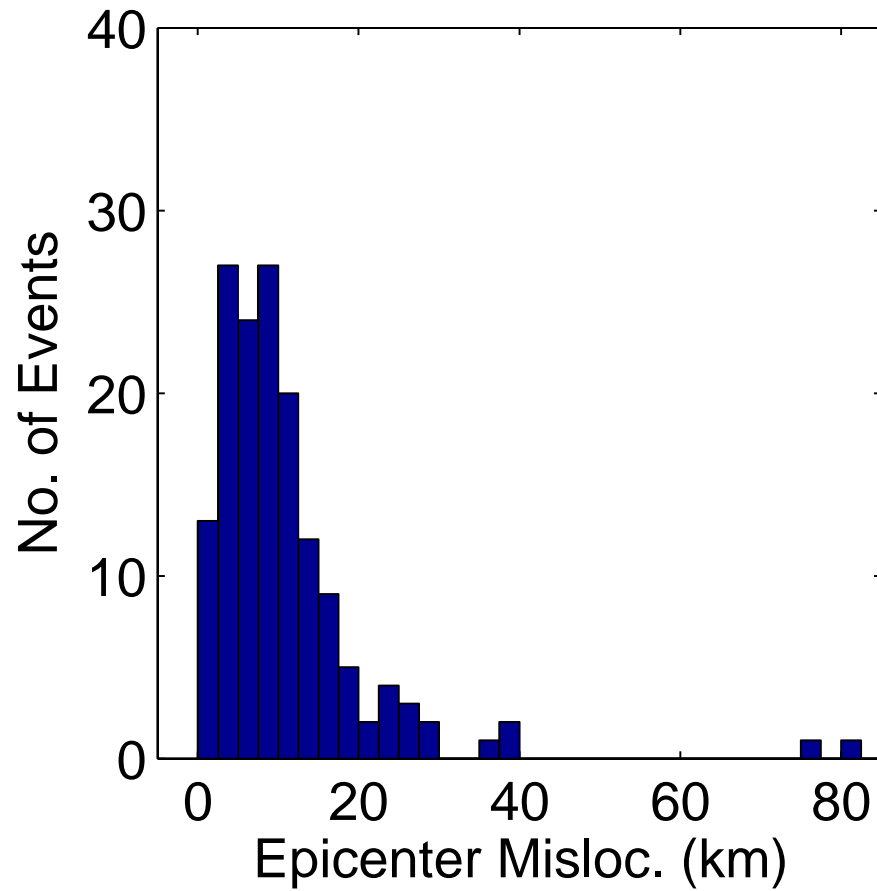
<i>Solution</i>	<i>mean</i>	<i>median</i>	<i>90%-ile</i>	<i>max</i>
ISC1	11.0	8.7	20.1	80.8
GMEL (L2 norm)	13.3	10.5	25.3	84.4
GMEL (L1 norm)	9.4	7.7	17.3	45.7

### — Depth Mislocation —

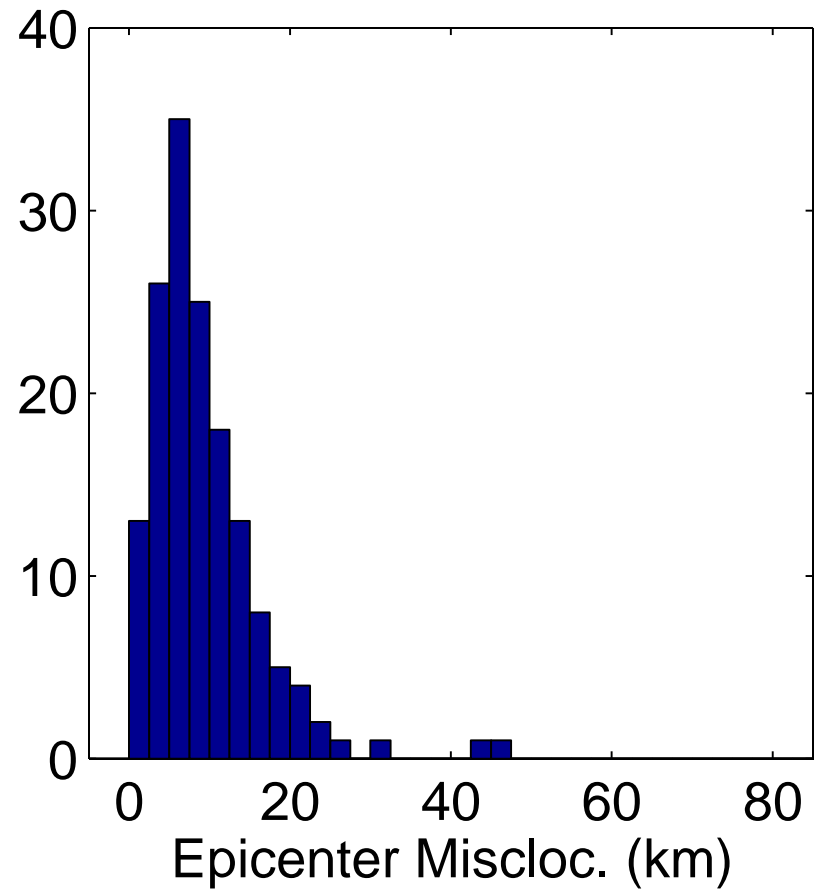
<i>Solution</i>	<i>mean</i>	<i>median</i>	<i>90%-ile</i>	<i>max</i>
ISC1	6.8	2.7	19.6	48.7
GMEL (L2 norm)	15.8	6.5	32.4	237.8
GMEL (L1 norm)	12.9	6.0	28.3	198.6

# Epicerter Mislocations

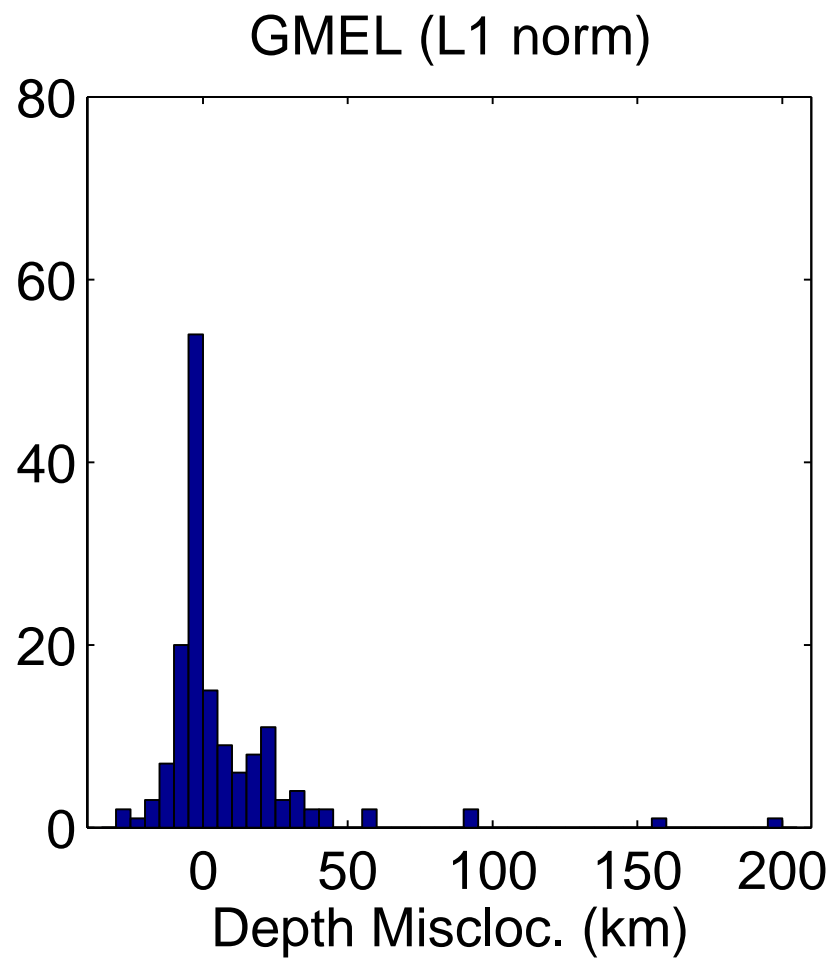
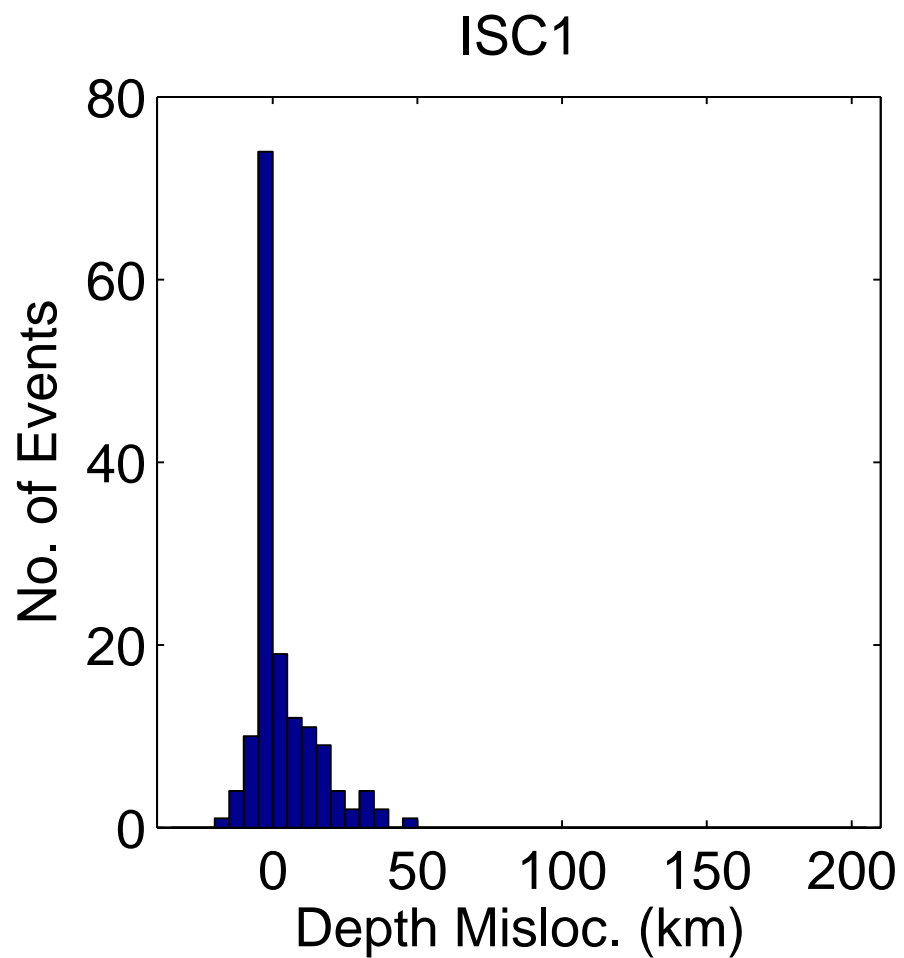
ISC1



GMEL (L1 norm)



# Depth Mislocations



## Weighting by Data Quality

- Set the data standard errors ( $\sigma_{ij}$ ) as

<i>Quality</i>	P phases	S phases
Impulsive	0.5 sec	1.0 sec
Emergent	1.5	3.0
Unspecified	1.0	2.0

# Quality-Weighting Solutions

## — Epicenter Mislocation —

<i>Case</i>	<i>mean</i>	<i>median</i>	<i>90%-ile</i>	<i>max</i>
<b>L1</b>	<b>9.4</b>	<b>7.7</b>	<b>17.8</b>	<b>45.7</b>
L1 qual-weight	9.6	7.8	18.0	46.1
L2	13.3	10.6	25.3	84.4
L2 qual-weight	14.0	10.0	31.8	84.9

## — Depth Mislocation —

<i>Case</i>	<i>mean</i>	<i>median</i>	<i>90%-ile</i>	<i>max</i>
<b>L1</b>	<b>12.9</b>	<b>6.0</b>	<b>28.3</b>	<b>198.6</b>
L1 qual-weight	13.0	<b>6.0</b>	<b>27.4</b>	202.1
L2	15.7	6.4	32.4	237.8
L2 qual-weight	16.0	8.3	35.1	<b>194.4</b>

# Data Re-Weighting

- Likelihood function:

$$\begin{aligned} -\log L = \text{const} &+ \sum_{ij} \log \sigma_{ij} \\ &+ \frac{1}{p} \sum_{ij} \frac{1}{(\sigma_{ij})^p} |d_{ij} - T_j(\mathbf{x}_i) - t_i - c_j|^p \end{aligned}$$

- GMEL can let the  $\sigma_{ij}$  be unknowns, if constrained.
  - Station/phase re-weighting:

$$\sigma_{ij} = \sigma_j \nu_{ij}$$

- Solve for the  $\sigma_j$  subject to

$$0.5 \leq \sigma_j \leq 2$$

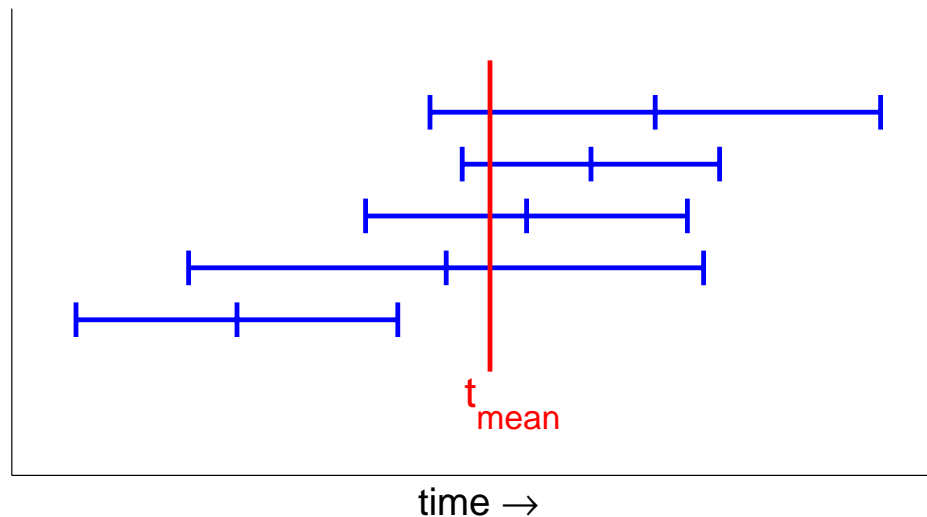
## Association (Outlier Rejection)

- Given  $n$  arrival times from an event,  $t_j$ , set

$$w_j = 3.7 \sigma_j + \left( \frac{\theta_{reso}}{\Delta} \right) T_j^{cal} + 10 t_{reso}$$

$$t_{\text{mean}} = \frac{1}{\sum_{j=1}^n w_j^{-1}} \sum_{j=1}^n w_j^{-1} t_j$$

- Associate (accept) arrival  $j$  if:  $t_j - w_j \leq t_{\text{mean}} \leq t_j + w_j$



## Re-Weighting/Association Solutions (L1 cases)

### — Epicenter Mislocation —

<i>Case</i>	<i>mean</i>	<i>median</i>	<i>90%-ile</i>	<i>max</i>
<b>L1</b>	<b>9.4</b>	<b>7.7</b>	<b>17.8</b>	<b>45.7</b>
L1 re-weight	9.8	8.0	<b>17.5</b>	51.7
L1 associate	9.5	7.8	18.0	<b>45.3</b>

### — Depth Mislocation —

<i>Case</i>	<i>mean</i>	<i>median</i>	<i>90%-ile</i>	<i>max</i>
<b>L1</b>	<b>12.9</b>	<b>6.0</b>	<b>28.3</b>	<b>198.6</b>
L1 re-weight	14.0	6.3	<b>26.2</b>	203.5
L1 associate	<b>12.8</b>	<b>5.9</b>	<b>27.6</b>	<b>197.9</b>



## Re-Weighting/Association Solutions (L2 cases)

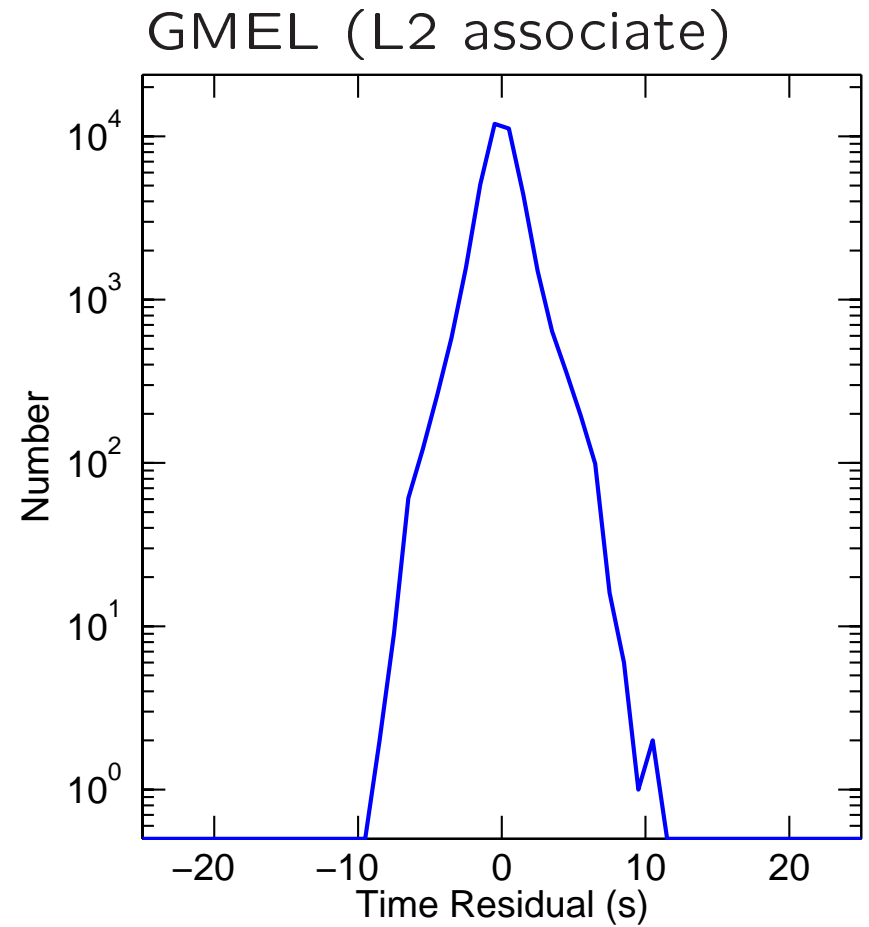
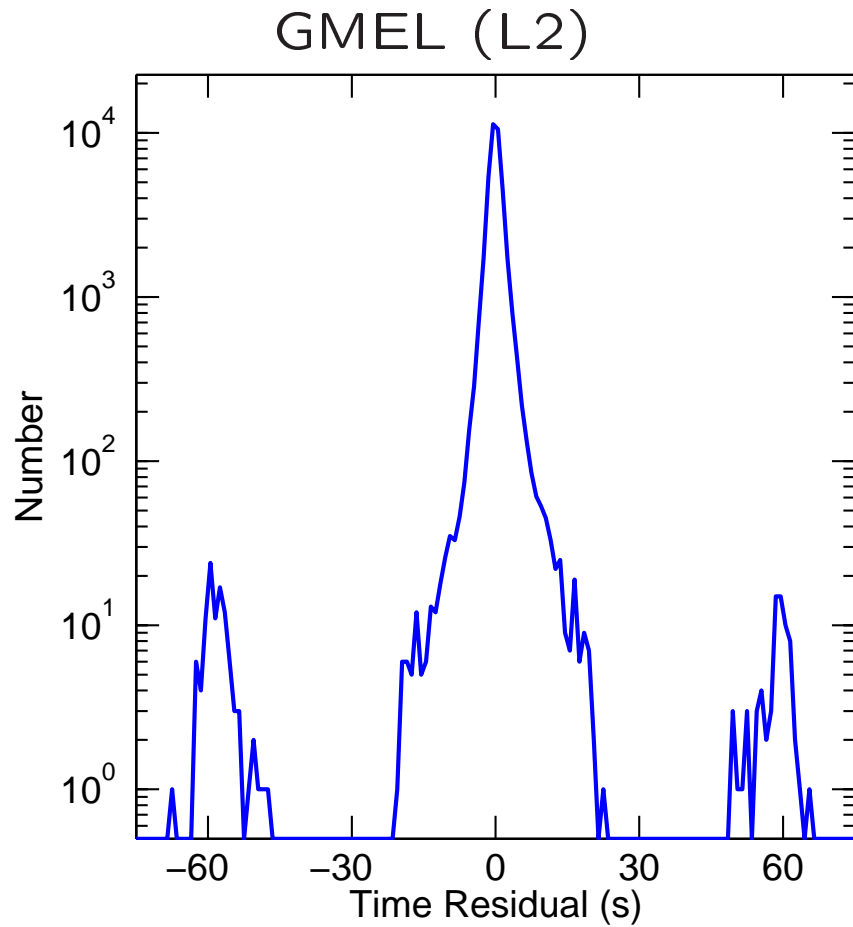
### — Epicenter Mislocation —

<i>Case</i>	<i>mean</i>	<i>median</i>	<i>90%-ile</i>	<i>max</i>
<b>L1</b>	<b>9.4</b>	<b>7.7</b>	<b>17.8</b>	<b>45.7</b>
L2	13.3	10.6	25.3	84.4
L2 re-weight	10.7	8.6	20.3	94.0
L2 associate	10.0	8.2	18.7	<b>41.8</b>

### — Depth Mislocation —

<i>Case</i>	<i>mean</i>	<i>median</i>	<i>90%-ile</i>	<i>max</i>
<b>L1</b>	<b>12.9</b>	<b>6.0</b>	<b>28.3</b>	<b>198.6</b>
L2	15.7	6.4	32.4	237.8
L2 re-weight	13.9	6.4	31.3	<b>194.0</b>
L2 associate	<b>12.5</b>	<b>5.9</b>	28.8	<b>182.3</b>

# Residuals After Re-location (ISC defining phases)



## Residual Statistics (ISC defining phases)

- Weighted Residual Norm

$$\text{W.R.N.} = \left( \frac{\sum_{ij} (r_{ij}/\sigma_{ij})^p}{\sum_{ij} (1/\sigma_{ij})^p} \right)^{\frac{1}{p}}$$

<i>Case</i>	<i>ndef</i>	<i>W.R.N.</i>
ISC1	38,766	4.7
GMEL L2	38,768	4.5
GMEL L2 re-weight	38,768	1.9
GMEL L2 associate	37,985	1.5
GMEL L1	38,768	1.5
GMEL L1 re-weight	38,768	1.1
GMEL L1 associate	38,273	1.1

## Multiple-Event Location

- Likelihood function:

$$\begin{aligned} -\log L = \text{const} &+ \sum_{ij} \log \sigma_{ij} \\ &+ \frac{1}{p} \sum_{ij} \frac{1}{(\sigma_{ij})^p} |d_{ij} - T_j(\mathbf{x}_i) - t_i - c_j|^p \end{aligned}$$

- Solve for the travel-time correction for each station/phase,  $c_j$ , subject to

$$-3 \text{ sec} \leq c_j \leq 3 \text{ sec}$$

## Multiple-Event Solutions (L1 cases)

### — Epicenter Mislocation —

<i>Case</i>	<i>mean</i>	<i>median</i>	<i>90%-ile</i>	<i>max</i>
L1 SEL	9.4	7.7	17.8	45.7
L1 MEL	9.3	7.8	17.8	42.8
L1 MEL re-weight	9.4	7.6	18.1	42.6
L1 MEL associate	10.0	9.1	17.8	37.4

### — Depth Mislocation —

<i>Case</i>	<i>mean</i>	<i>median</i>	<i>90%-ile</i>	<i>max</i>
L1 SEL	12.9	6.0	28.3	198.6
L1 MEL	13.1	6.5	27.6	152.2
L1 MEL re-weight	12.6	6.3	30.2	154.0
L1 MEL associate	14.4	8.1	33.3	163.8

# Multiple-Event Solutions (L2 cases)

## — Epicenter Mislocation —

<i>Case</i>	<i>mean</i>	<i>median</i>	<i>90%-ile</i>	<i>max</i>
L1 SEL	9.4	7.7	17.8	45.7
L2 SEL	13.3	10.6	25.3	84.4
L2 MEL	15.8	12.6	30.1	97.1
L2 MEL re-weight	11.6	9.1	20.1	101.1
L2 MEL associate	11.0	9.2	19.0	41.2

## — Depth Mislocation —

<i>Case</i>	<i>mean</i>	<i>median</i>	<i>90%-ile</i>	<i>max</i>
L1 SEL	12.9	6.0	28.3	198.6
L2 SEL	15.7	6.4	32.4	237.8
L2 MEL	16.4	7.5	39.0	238.4
L2 MEL re-weight	14.5	8.8	28.9	144.8
L2 MEL associate	14.6	7.8	32.5	144.6

## All-Phases Solutions (L1 cases)

### — Epicenter Mislocation —

<i>Case</i>	<i>mean</i>	<i>median</i>	<i>90%-ile</i>	<i>max</i>
L1 def-only	9.4	7.7	17.8	45.7
L1 all	115.7	14.5	366.2	497.9
L1 all re-weight	116.5	14.6	375.8	497.9
L1 all associate	21.0	9.3	60.9	155.9

### — Depth Mislocation —

<i>Case</i>	<i>mean</i>	<i>median</i>	<i>90%-ile</i>	<i>max</i>
L1 def-only	12.9	6.0	28.3	198.6
L1 all	27.9	9.1	62.0	250.0
L1 all re-weight	29.3	8.8	88.5	230.0
L1 all associate	12.3	7.0	26.0	132.8

# All-Phases Solutions (L2 cases)

## — Epicenter Mislocation —

<i>Case</i>	<i>mean</i>	<i>median</i>	<i>90%-ile</i>	<i>max</i>
L1 def-only	9.4	7.7	17.8	45.7
L2 def-only	13.3	10.6	25.3	84.4
L2 all	125.7	41.8	370.4	500.0
L2 all re-weight	121.2	28.5	371.6	500.0
L2 all associate	12.8	8.7	30.1	82.0

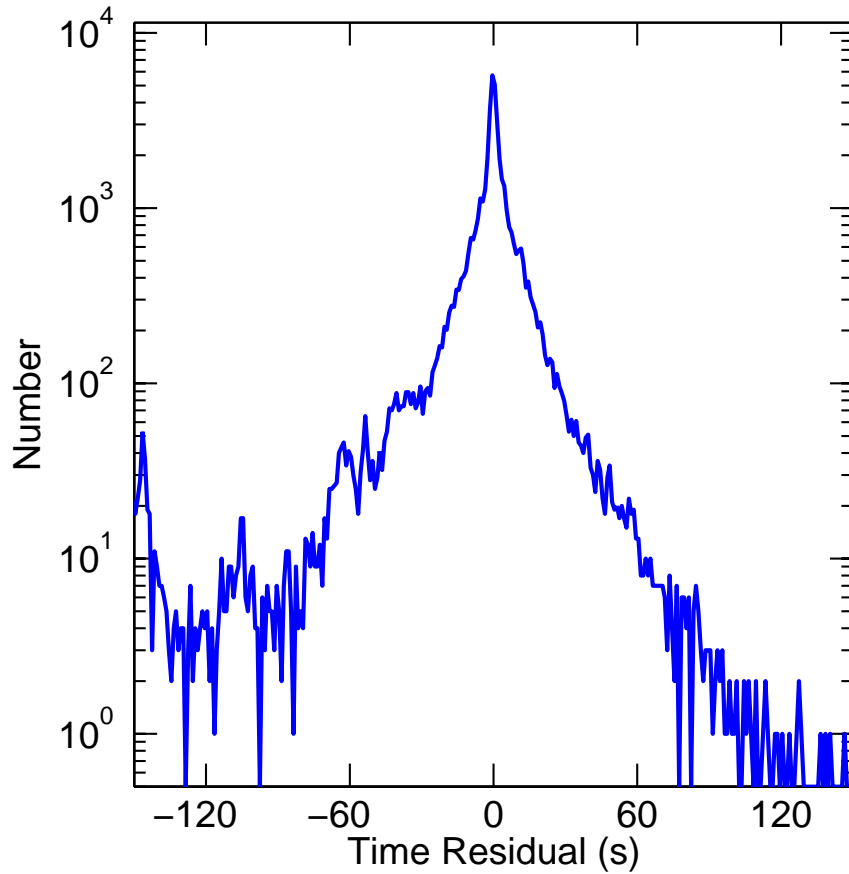
## — Depth Mislocation —

<i>Case</i>	<i>mean</i>	<i>median</i>	<i>90%-ile</i>	<i>max</i>
L1 def-only	12.9	6.0	28.3	198.6
L2 def-only	15.7	6.4	32.4	237.8
L2 all	40.5	10.4	169.5	250.0
L2 all re-weight	29.9	10.0	84.0	249.4
L2 all associate	12.3	6.5	26.0	146.3

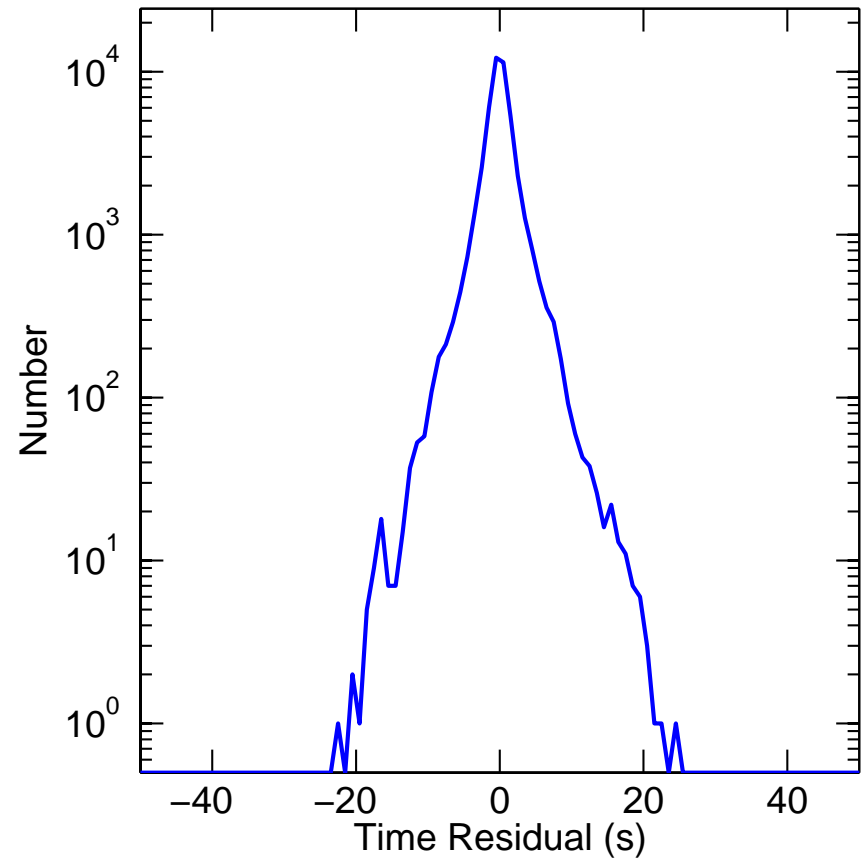


# Residuals After Re-location (all direct-depth P and S phases)

GMEL (L2)



GMEL (L2 associate)



## Residual Statistics (all direct P and S phases)

<i>Case</i>	<i>ndef</i>	<i>W.R.N.</i>
L2 def-only	38,768	4.5
L2 all	48,632	652.4
L2 all associate	47,171	2.2
L1 def-only	38,768	1.5
L1 all	48,632	17.8
L1 all associate	47,829	2.0

# Conclusions

- In our tests with the IWREF data set, automated location procedures, applied to direct P and S arrivals, improved ISC epicenters but not depths.
- The improvement resulted from
  - L1 norm minimization (non-Gaussian error model)
  - simple outlier rejection (association)
  - re-weighting data by station/phases
- More sophisticated procedures (than GMEL uses) are needed to improve automated location with raw ISC picks.
- More investigation is needed to determine if multiple-event location techniques can further improve automatic location procedures.

# Acknowledgments

- To Air Force Research Laboratory and Department of Energy for supporting the development of GMEL.
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